

Roll No. ....

**57523**

**BBA 3rd Semester (N.S.) 2014-17  
Examination – November, 2017**

**CAPITAL MARKETS**

**Paper : BBAN-303**

***Time : Three Hours ] [ Maximum Marks : 80***

*Before answering the questions, candidates should ensure that they have been supplied the correct and complete question paper. No complaint in this regard, will be entertained after examination.*

**Note :** Attempt *compulsory* question No. 1 from **Section-A** and *four* questions from **Section-B** (*one* question from each Unit). All questions carry equal marks.

**SECTION – A**

**1.** Briefly explain the following :

- (a) Need of capital market
- (b) Financial assets
- (c) Initial public offer
- (d) Listing of securities

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7. Write a note on the features and instruments of debt market.

#### UNIT – IV

8. What are the functions of NABARD ? Briefly discuss the features of NABARD's assistance policy.
9. Why should SEBI regulate mutual funds ? Discuss the SEBI guidelines for mutual funds.

- (e) Depository system
- (f) Non-convertible debentures
- (g) Objectives of development banks
- (h) Open ended schemes

#### SECTION – B

##### UNIT – I

2. Elaborate the nature of capital market. Which developments have taken place in Indian capital market since 1991 ?
3. Discuss the features and types of equity shares.

##### UNIT – II

4. Which are the primary market intermediaries ? What role do they play ?
5. What are the causes of poor liquidity in secondary market ? Which steps have been taken by SEBI to improve it ?

##### UNIT – III

6. Explain the functions and constituents of depository system.