

Roll No. ....

**57023**

**BBA (Re appear) 3rd Semester  
(Old) 2011-14 Examination -  
September, 2016**

**CAPITAL MARKETS**

**Paper : BBA-303**

**Time : 3 hours**

**Max. Marks : 80**

Before answering the questions, candidates should ensure that they have been supplied the correct and complete question paper. No complaint in this regard will be entertained after the examination.

**Note:** Attempt **four** questions from Section B by selecting **one** question from each unit. Section A is **compulsory**. All questions carry equal marks.

**1.** Define the following terms :

- (a) Hybrid Instruments
- (b) Capital Market
- (c) Index Funds
- (d) GDRs

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- (e) Development Bank
- (f) Floating Rate Bonds
- (g) Demutualisation
- (h) Short Selling

**Section-B**

**Unit-I**

2. Explain briefly the major reforms in the primary and secondary capital market.

3. What are the functions of capital markets ? State the difference between FDI and FI.

**Unit-II**

4. Elaborate and discuss the process involved in issuing of Indian Depository Receipts (IDRs).

5. Define stock market index. What methodology is used for calculation of BSE Sensex and NSE Nifty ?

**Unit-III**

6. Why is the debt market an important segment in the capital market ? Who are the participants in debt market ?

7. Distinguish between Demat and physical shares and also explain the depository process.

**Unit-IV**

8. Elaborate and discuss the policy measures relating to development banks and financial institutions in India.

9. Why SIDBI was established ? What types of financial products are offered by SIDBI ?